

00366.000214.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: Not Yet Assigned
GÜNTHER LEISING	)	
	:	Group Art Unit: 2812
Application No.: 10/586,386	)	
	:	Conf. No.: 1805
(National Phase of PCT	)	
Application No. PCT/EP2004/051066,	:	
Filed June 9, 2004)	)	
	:	
Filed: July 17, 2006	)	
	:	
For: LED AND LED LIGHT SOURCE	)	October 2, 2006

Mail Stop: PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

Sir:

In response to the NOTIFICATION OF MISSING REQUIREMENTS mailed September 8, 2006 enclosed please find an executed Declaration/Power of Attorney form, together with the surcharge fee of \$130.00, and the surcharge fee of \$130 for providing the English translation later than 30 months from the priority date. Also enclosed is a copy of the NOTIFICATION OF MISSING REQUIREMENTS.

The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 06-1205.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

/Frank A DeLucia/  
Frank A. DeLucia  
Attorney for Applicants  
Registration No.: 42,476

FITZPATRICK, CELLA, HARPER & SCINTO  
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New York, New York 10112-3800  
Facsimile: (212) 218-2200

NY\_MAIN 594528v1



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/586,386	FIRST NAMED APPLICANT Gunther Leising	ATTY. DOCKET NO. 00366.000214
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INTERNATIONAL APPLICATION NO. PCT/EP04/51066	
LA. FILING DATE 06/09/2004	PRIORITY DATE 07/11/2003

05514  
 FITZPATRICK CELLA HARPER & SCINTO  
 30 ROCKEFELLER PLAZA  
 NEW YORK, NY 10112

CONFIRMATION NO. 1805

## 371 FORMALITIES LETTER



\*OC000000020352263\*

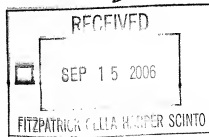
Date Mailed: 09/08/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/17/2006
- English Translation of the IA filed on 07/17/2006
- Copy of the International Search Report filed on 07/17/2006
- Preliminary Amendments filed on 07/17/2006
- Information Disclosure Statements filed on 07/17/2006
- U.S. Basic National Fees filed on 07/17/2006
- Priority Documents filed on 07/17/2006
- Specification filed on 07/17/2006
- Claims filed on 07/17/2006
- Abstracts filed on 07/17/2006
- Drawings filed on 07/17/2006

*00366.000214*  
*PCT*  
*11/18/06*  
*9/15/06*  
*HL*



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Processing fee of \$130 for providing the translation of the application and/or the Annexes later than 30 months from the priority date (37 CFR 1.492(i)).
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$260** for a Large Entity:

- **\$130** Surcharge.
- **\$130** for English translation surcharge required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/586,386	PCT/EP04/51066	00366.000214

FORM PCT/DO/EO/905 (371 Formalities Notice)